## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Docket No. 33460

Michael S. MARIETTA

Serial No.:

Filed:

FIREWORKS ARTILLERY SHELL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## **INFORMATION DISCLOSURE STATEMENT**

The attached listing of references is being filed to fulfill the duty of candor and good faith . toward the Patent and Trademark Office, as required by 37 C.F.R. §1.56. Applicant attaches copies of th references other than the listed U.S. Patents.

Any additional fee due in connection with this should be applied against our Deposit Account No. 19-0522.

Respectfully submitted,

HOVEY WILLIAMS LLP

Thomas H. Van Hoozer

Reg. No. 32,761

2405 Grand Boulevard, Saite 400

Kansas City, Missouri 64108

(816) 474-9050

Attorney Docket No. 33460

| FORM PTO-1449<br>(Rev. 2-32)                     | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>33460      | SERIAL NO. |  |
|--|---|--------------------------------|------------|--|
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT |   | APPLICANT: Michael S. MARIETTA |            |  |
| (Use several sheets if necessary)                |   | FILING DATE:                   | GROUP:     |  |

## U.S. PATENT DOCUMENTS

| EXAM.<br>INITIAL | DOCUMENT NUMBER | DATE    | NAME            | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|------------------|-----------------|---------|-----------------|-------|----------|-------------------------------|
|                  | D25275          | 3/1896  | IVES            |       |          |                               |
|                  | D164423         | 9/1951  | HOWELL          |       |          |                               |
|                  | 234,132         | 11/1880 | KYSER et al.    |       |          |                               |
|                  | 480,012         | 8/1892  | HAND et al.     |       |          |                               |
|                  | 1,666,598       | 4/1927  | нітт            |       |          |                               |
|                  | 1,770,921       | 7/1930  | нітт            |       |          |                               |
|                  | 1,773,197       | 8/1930  | MULCAHY         |       |          |                               |
|                  | 1,861,893       | 6/1932  | YAMAGISHI       |       |          |                               |
|                  | 1,922,081       | 11/1931 | DRIGGS, JR.     |       |          |                               |
|                  | 1,972,478       | 9/1934  | FABRIZIO        |       |          |                               |
|                  | 2,103,936       | 12/1937 | DECKER          |       |          |                               |
|                  | 2,821,922       | 2/1958  | BROWN et al.    |       |          |                               |
|                  | 3,060,854       | 10/1962 | MARETTI         |       |          |                               |
|                  | 3,145,530       | 8/1964  | SOBEY           |       |          |                               |
|                  | 3,227,084       | 1/1966  | STOKES et al.   |       |          |                               |
|                  | 3,404,782       | 10/1968 | RAY             |       |          |                               |
|                  | 3,678,855       | 7/1972  | SEMEL           |       |          |                               |
|                  | 4,052,940       | 10/1977 | GITS et al.     |       |          |                               |
|                  | 4,697,518       | 10/1987 | LAU et al.      |       |          |                               |
|                  | 4,771,695       | 9/1988  | SIMPSON         |       |          |                               |
|                  | 4,917,015       | 4/1990  | LOWERY          |       |          |                               |
|                  | 5,249,528       | 10/1993 | LEE             |       |          |                               |
|                  | 5,339,741       | 8/1994  | CRAVEN et al.   |       |          |                               |
|                  | 5,429,053       | 7/1995  | WALKER          |       |          |                               |
|                  | 5,526,750       | 6/1996  | POOR et al.     |       |          |                               |
|                  | 5,567,907       | 10/1996 | WESTFALL        |       |          |                               |
|                  | 5,623,113       | 4/1997  | VALEMBOIS       |       |          |                               |
|                  | 5,627,338       | 5/1997  | POOR et al.     |       |          |                               |
|                  | 5,739,462       | 4/1998  | POOR et al.     |       |          |                               |
|                  | 5,841,061       | 11/1998 | WESTFALL et al. |       |          |                               |
|                  | 5,983,801       | 11/1999 | BRUNN           |       |          |                               |
|                  | 6,244,185       | 6/2001  | YIP             |       |          |                               |

## **FOREIGN PATENT DOCUMENTS**

|   | DOCUMENT NUMBER | DATE   | COUNTRY | CLASS | SUBCLASS | TRANSL | ATION |
|---|-----------------|--------|---------|-------|----------|--------|-------|
| ļ | 71,493          | 1/1969 | DE      |       |          | YES    | МО    |
|   |                 |        |         |       |          |        |       |
|   |                 |        |         |       |          |        |       |
|   |                 |        |         |       |          |        |       |
|   |                 |        |         |       |          |        |       |
|   |                 |        |         |       |          |        |       |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| Advertising piece which shows a double effect shell in the Pro-Mag and 3rd Generation displays (advertisement published in the American Pyrotechnics Association booklet on September 22, 1998) |
|---|
| Author unknown, Washington Post Newspaper, Metro Section, P. B7 (2 pgs herein), July 4, 2000  |
|   |
|   |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.